CUNY Export Control Preliminary Evaluation Form

CUNY Export Control Overview and list of Export Control Administrators are available on the Research Compliance website at http://www.cuny.edu/research/compliance/Export-Control.html.

1. Please answer the following questions, using the CUNY Export Compliance Overview as a guide, to perform a preliminary evaluation of whether a project may be subject to export control regulations; in making this evaluation, be sure to consider whether any of the issues mentioned below are addressed in the related grant, contract, MTA, MOU or NDA.

<table>
<thead>
<tr>
<th>Question</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>Project documents mention U.S. export control regulations</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Sponsor restricts non-US entity participation</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Sponsor prohibits access by non-US persons to project information</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Sponsor prohibits the hiring of non-US persons</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Project involves international transfer of controlled items or data</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Sponsor claims that the results are proprietary</td>
<td></td>
<td></td>
</tr>
<tr>
<td>You expect the physical export of controlled items or information</td>
<td></td>
<td></td>
</tr>
<tr>
<td>You expect to travel internationally as part of your responsibilities for the project</td>
<td></td>
<td></td>
</tr>
<tr>
<td>You expect digital or electronic transmission of controlled data to a foreign destination</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Sponsor requires publication restrictions for reasons other than patent filing or proprietary information</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Project documents indicate that export-controlled information or technology will be furnished for use in the project</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Project will involve use of advanced cryptographic technology including access to source code for cryptography and/or technical data to develop the source code</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

If you have checked yes to any of the above, please contact your College’s Export Control Administrator for additional review and evaluation.