

1 Wave properties of Electromagnetic Radiation

1.1 What's electromagnetic radiation (Reading assignment)

- a sinusoidal electric and magnetic wave traveling through the space
- a discrete series of "particles" that have specific energy but have no mass, **photons**

Both.

Wave-particle duality!



1.2 Wave properties of electromagnetic radiation

(considering electric field only since it's responsible for spectroscopy including transmission, reflection, refraction, and absorption)

λ : wavelength, linear distance between two equivalent points on successive waves.

A: amplitude, the length of electric vector at a maximum

ν : frequency, the number of oscillations occurred per sec.

T: period, time for 1 λ to pass a fixed point, $=1/\nu$

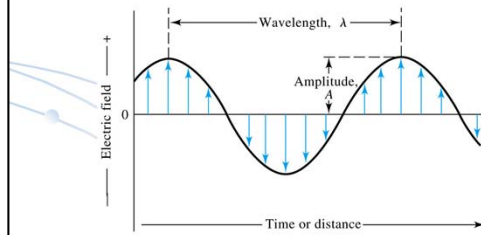


Fig. 6-1 (p133)

$$y = A \sin(\omega t + \phi), \text{ with time as variable}$$

$$\omega: \text{angular velocity} = 2\pi\nu,$$

$$\phi: \text{phase angle}$$

Coherent requirements:

$$y = A \sin(\omega t + \phi),$$

$$y' = A' \sin(\omega t + \phi') \text{ with } \phi - \phi' = \text{constant}$$

1.2.1 Transmission

velocity of wave propagation (m/s) = $\lambda(\text{m}) \times \nu(\text{s}^{-1})$

- In a vacuum: electromagnetic wave travels at the speed of light, $c = 2.99792458 \times 10^8$ m/s

- In other media, ν remains constant, λ and thus $\lambda \nu$ decreases

$$\nu = c/n,$$

n : the medium refractive index ≥ 1.00

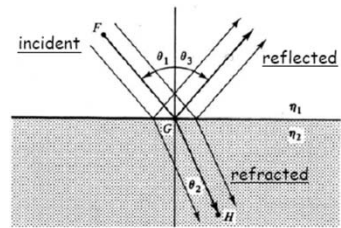
1.2.2 Reflection and refraction

The fraction of reflection:

$$\frac{I_{\text{reflection}}}{I_{\text{incident}}} = \frac{(n_2 - n_1)^2}{(n_2 + n_1)^2}$$

The extent of refraction:

$$\frac{\sin \theta_1}{\sin \theta_2} = \frac{n_2}{n_1}$$



1.2.3 Diffraction

Parallel electromagnetic wave can bend when passing through a narrow opening (width $\cong \lambda$).

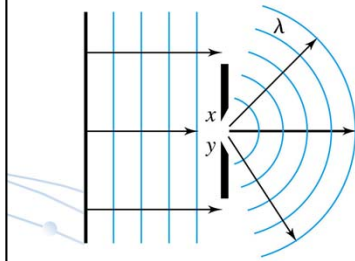


Fig. 6-7 (p138)

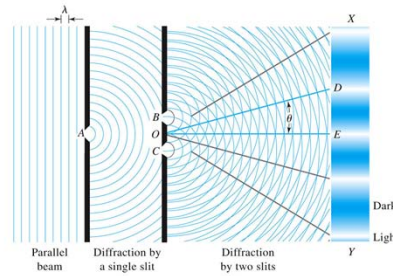


Fig. 6-8 (p139)

Two diffracted rays from two slits have interference.

Constructive interference (intense band) can be observed when the difference in path lengths from two slits is equal to wavelength (first order interference), or 2λ , 3λ ... corresponding to difference between two phase angles = $2n\pi$, n is an integral 1,2,3...

Destructive interference

2 Particle Description of Radiation

2.1 Particle properties

According to **Photoelectric Effect experiment (p144-146)**

energy of a photon can be related to its frequency $E(\text{J}) = h\nu$

h : Planck's constant, 6.6254×10^{-34} J·s

$\nu = c/\lambda \rightarrow E = hc/\lambda$ energy is inversely proportional to the wavelength

2.2 Commonly used units (vary with the spectral region)

X-ray and short UV: $\text{\AA} = 10^{-10}$ m

UV/Visible range: nm = 10^{-9} m

Infrared range: $\mu\text{m} = 10^{-6}$ m or wavenumber (cm^{-1}):

Photon energy

X-ray region: eV $1\text{J} = 6.24 \times 10^{18}$ eV

Visible region: kJ/mol = J/photon $\times 6.02 \times 10^{23}$ photon/mol $\times 10^{-3}$ kJ/J

3 Interaction with Matter

3.1 Postulates of quantum mechanics

- Atoms, ions and molecules exist in discrete energy states only – quantized
 E_0 : ground
 $E_1, E_2, E_3 \dots$: excited states

Energy states of atoms, ions or molecules are all different, and they are correlated to different electronic, vibrational and rotational energy levels

Measuring energy states gives means of identification of chemical species – spectroscopy

- When an atom, ion or molecule changes energy state, it absorbs or emits radiation with the photon energy equal to the energy difference between two states.

$$\Delta E = E_1 - E_0$$
$$\Delta E = h\nu = \frac{hc}{\lambda}$$

3.2 Emission spectra from excited states

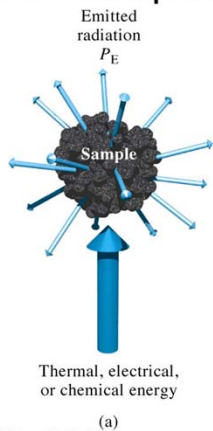


Fig. 6-15 (p147) Sample is excited by the application of thermal, electrical or chemical energy

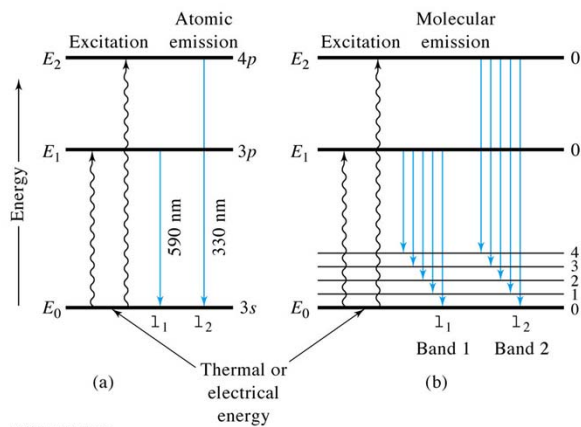


Fig. 6-21 (p151)

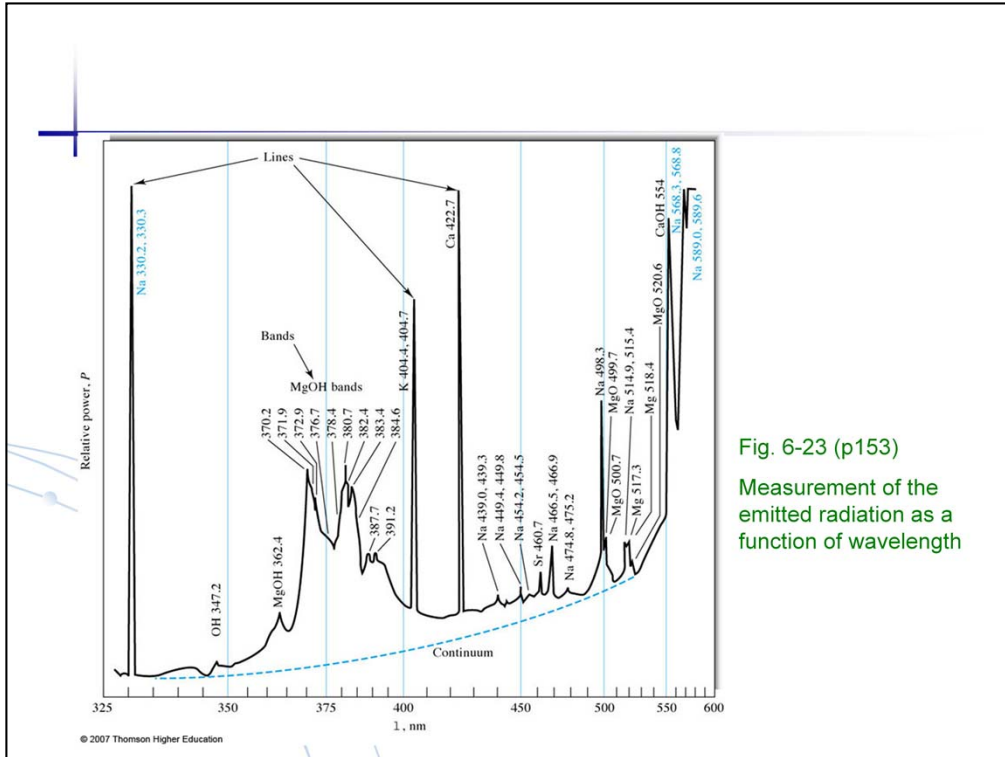


Fig. 6-23 (p153)
Measurement of the emitted radiation as a function of wavelength

3.3 Absorption spectra

Just as in emission spectra, an atom, ion or molecule can only absorb radiation if the radiation energy matches the separation between two energy states.

Atoms

No vibrational or rotation energy levels – sharp line spectra with few features

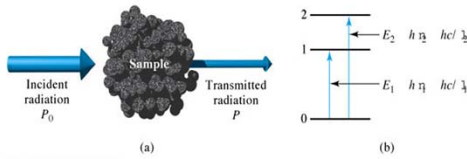


Fig. 6-16 (p148) For absorption to occur, the energy of incident beam must correspond to one of the energy differences

Na $3s \rightarrow 3p$ 589.0, 589.6 nm (yellow),

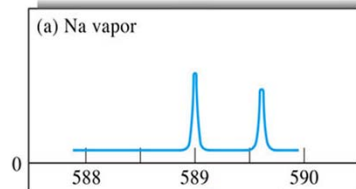


Fig. 6-23 (p153)

Measurement of the amount of light absorbed as a function of wavelength

Molecules

Electronic, vibrational and rotational energy levels are all involved

Each electronic state contains many vibrational states

Each vibrational state contains many rotational states

$$E = E_{\text{elec}} + E_{\text{vib}} + E_{\text{rot}}$$

→ broad band spectra with many features.

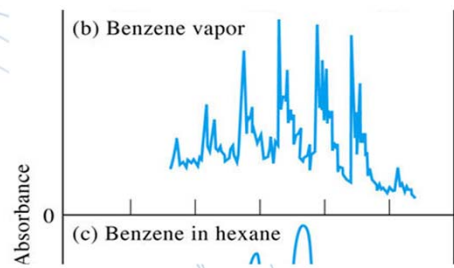


Fig. 6-23 (p153)



3.4 Relaxation processes

Lifetime of excited state is short (fs→ms) – relaxation processes

Nonradiative relaxation

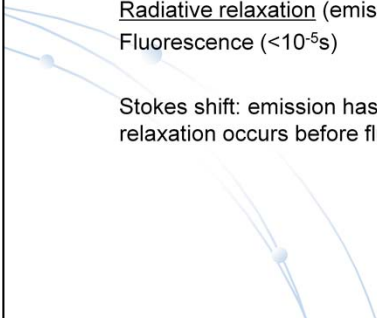
loss of energy by collisions, happens in a series of small steps.

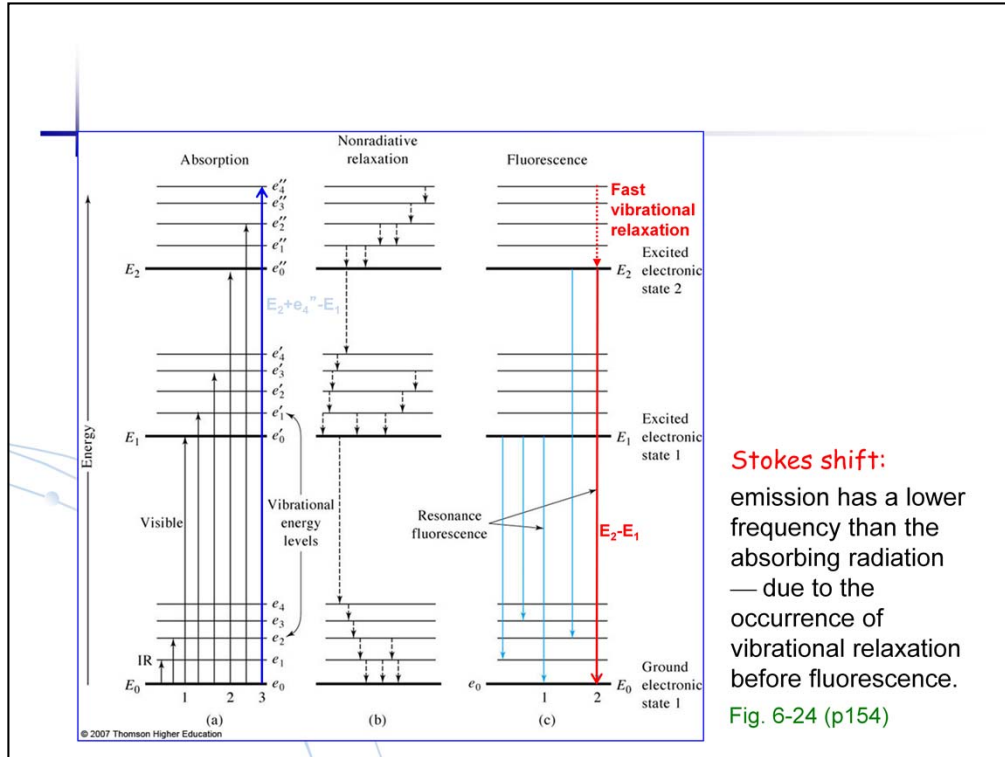
Tiny temperature rise of surrounding species

Radiative relaxation (emission)

Fluorescence ($<10^{-6}$ s)

Stokes shift: emission has a lower frequency than the radiation (due to vibrational relaxation occurs before fluorescence).



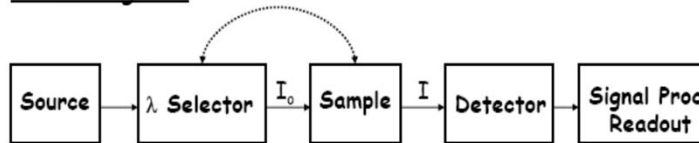


Stokes shift:
emission has a lower frequency than the absorbing radiation — due to the occurrence of vibrational relaxation before fluorescence.

Fig. 6-24 (p154)

4 General Designs of Optical Instrument

Block Diagram:



- Continuum
- Dispersive
- Liquids
- Single-Channel
- Line
- Non-Dispersive
- Gases
- Multi-Channel
- Solids

1. **Stable radiation source**
2. **Wavelength selector**
3. **Transparent sample holder:** cells/curvettes made of suitable material (Table 7-2)
4. **Radiation detector**
5. **Signal processor and readout**

5 Radiation Source

5.1 Continuum source: very broad range of wavelength

e.g., Xe (160-850 nm) arc lamp



5.2 Line source: containing a few discrete lines

e.g., **L**ight **A**mplification by **S**timulated **E**mission of **R**adiation (**LASER**): ultimate line source

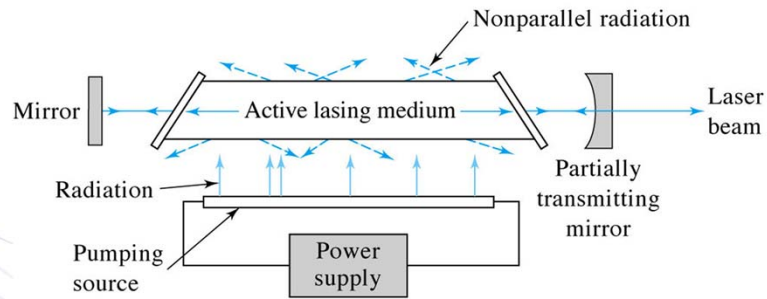
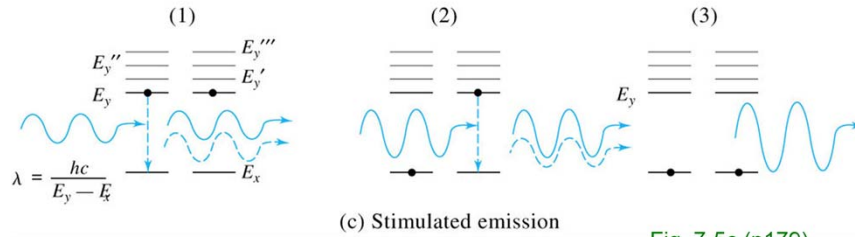


Fig. 7-4 (p169)

- Critical component: lasing medium
- Lasing medium is **pumped** by external energy to excited states, and a few photons produced
- Photons produced by the lasing transmit back and forth between a pair of mirror, triggering stimulated emission of photon of same energy → enormous amplification.

5.2.1 Stimulated emission: Basis of laser



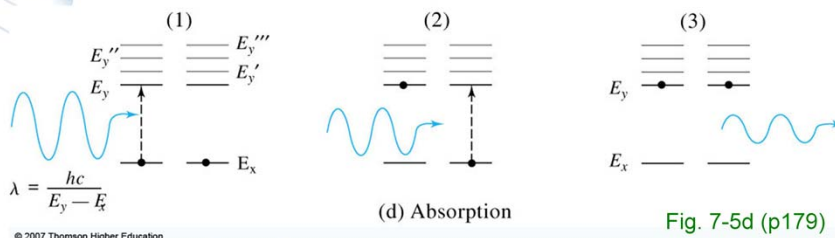
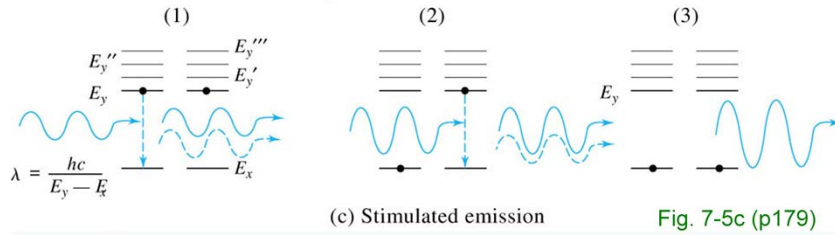
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Fig. 7-5c (p179)

when the excited state is colliding with a photon whose energy matches the $E_y - E_x$, the excited electronic state will relax to ground state and simultaneously emit a photo of exactly the same energy and same direction and same phase angle.

Coherent radiation with incoming photon.

5.2.2 Stimulated emission competing with the absorption which attenuates the incoming radiation



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5.2.3 Population inversion and amplification

Number in the higher state exceed the number in the lower state, so ...

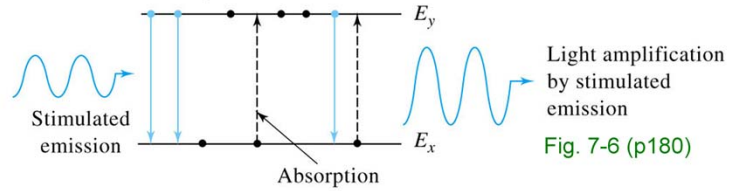
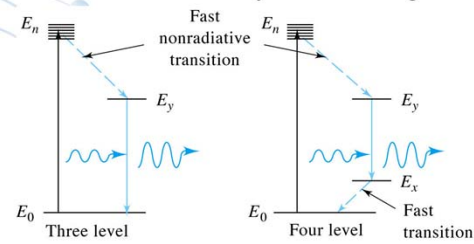


Fig. 7-6 (p180)

Cannot produce population inversion in a 2-level system.

Need a 3- or 4- level system where higher states are produced by...



E_y : forbidden energy level

Fig. 7-7 (p171)




Advantages of Laser

Spatial coherence: all photons in-phase
 high power density
 low beam divergence

Spectral coherence: high monochromatic

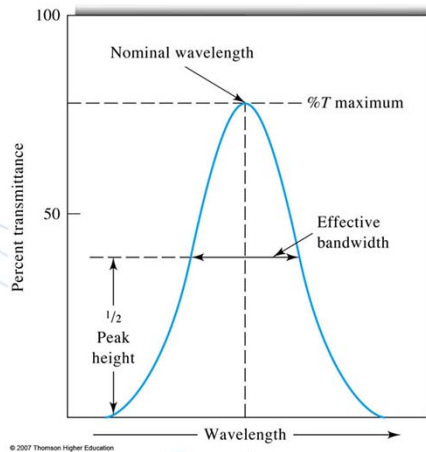
Pulsed (10^{-15} - 10^{-16} s) or continuous



6 Wavelength Selector

6.1 Ideal output for wavelength selector

- separate electromagnetic into individual λ -component



Full width at
half maximum.
(FWHM)

Fig. 7-11 (p176)

6.2 Absorption Filters

colored glass or dye between two glass plates

-wide bandwidth

-low transmittance at band peaks

-two filters can produce narrow band

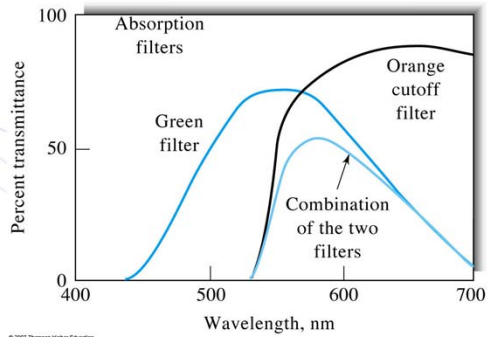


Fig. 7-17 (p180)

6.3 Interference filter

Two thin sheets of metal sandwiched between glass plates, separated by transparent material

Interference for **transmitted wave** and the **reflected wave from 2nd layer**

a. Constructive interference

$$2d/\sin\theta = n\lambda \quad n: \text{order of interference}$$

usually $\theta \rightarrow 90^\circ$, $\sin\theta \rightarrow 1$

$$\lambda = 2d/n,$$

Remember, this is the wavelength in the dielectric

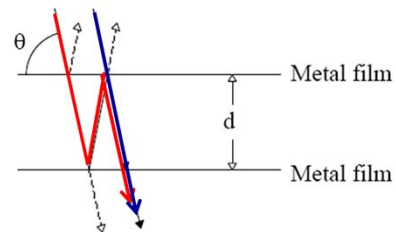
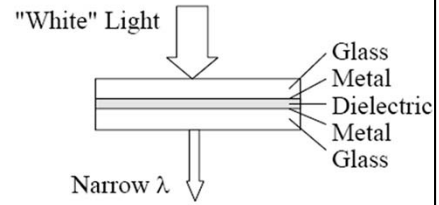
$$\lambda_{\text{glass}} = \lambda_{\text{air}}/n \quad \text{or} \quad \lambda_{\text{air}} = 2dn/n$$

→ this particular wavelength is reinforced.

b. If $\lambda_{\text{air}} \neq 2dn/n$

destructive interference happens,
and intensity is lost

only $\lambda = 2dn/n$ can be transmitted through filter.



6.4 Monochromator

- entrance slit
- collimating lens or mirror
- grating
- focusing lens or mirror
- exit slit

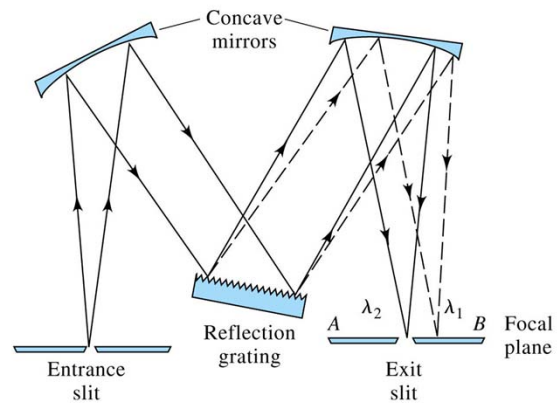


Fig. 7-18 (p181)

Grating: an optically flat, polished surface with a large number of parallel and closed spaced grooves. 300-1400 grooves/mm for UV-VIS, 10-200 grooves/mm for IR.

Constructive interference between beams 1 and 2

$$d \cdot \sin\theta_i + d \cdot \sin\theta_r = n\lambda$$

n: order of interference

For $\theta_i = 30^\circ$, $\theta_r = 45^\circ$,

and grating has 2000 lines/mm

$$d = 1\text{mm}/2000 = 5 \times 10^{-7} \text{ m}$$

$$n\lambda = d(\sin\theta_i + \sin\theta_r)$$

$$= 5 \times 10^{-7} (\sin 30^\circ + \sin 45^\circ)$$

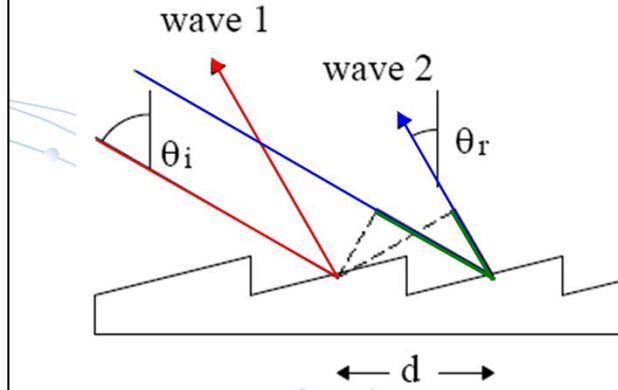
$$= 6.03 \times 10^{-7} \text{ m} = 603 \text{ nm}$$

$\lambda = 603 \text{ nm}$ for first order

$\lambda = 301.5 \text{ nm}$ for 2nd order

$\lambda = 201 \text{ nm}$ for 3rd order

Higher order diffraction gives different λ at same angle \rightarrow Use filters to reduce multiple order intensity



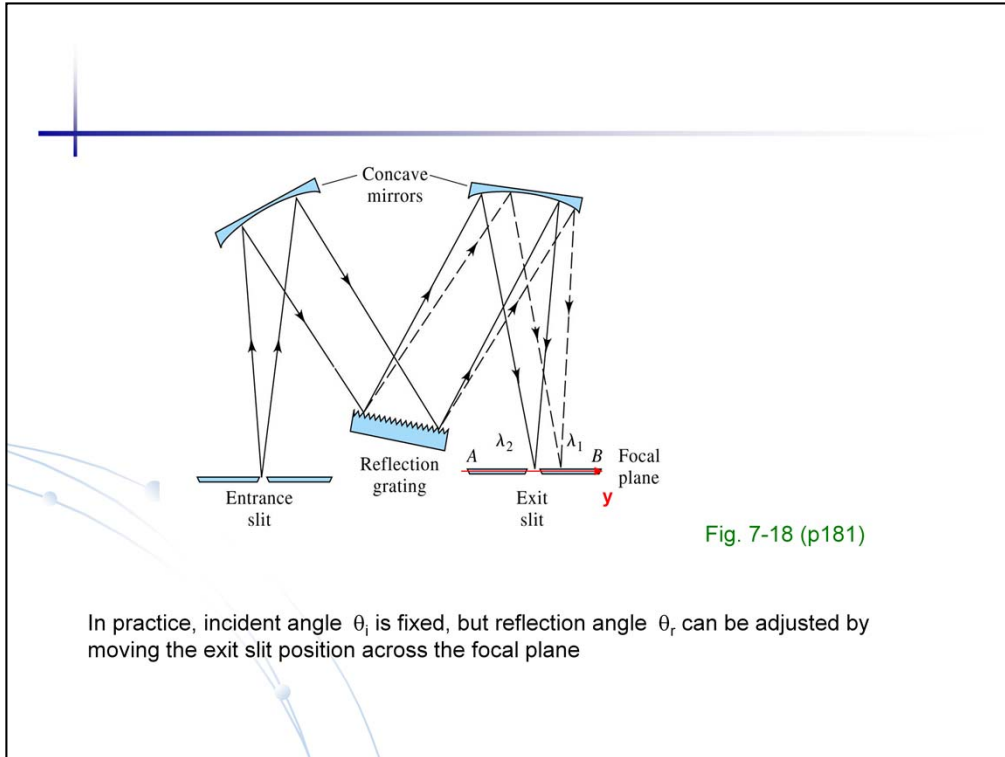


Fig. 7-18 (p181)

In practice, incident angle θ_i is fixed, but reflection angle θ_r can be adjusted by moving the exit slit position across the focal plane

Performance characteristics of monochromators

- **Dispersion:** ability to separate small wavelength differences

Linear dispersion or reciprocal linear dispersion- variation in λ across the focal plane

$$D = \frac{dy}{d\lambda}, \quad D^{-1} = \frac{d\lambda}{dy} = \frac{d}{nf} \quad (\text{nm/mm})$$

y : the distance along the line of AB
 f : the focal length of monochromator

Exit width w required to separate λ_1 and λ_2

$$\Delta\lambda_{\text{eff}} = \frac{1}{2}(\lambda_1 - \lambda_2) \quad \text{effective bandwidth}$$

$$\Delta y = w \quad \text{slit width}$$

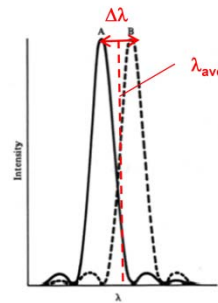
$$w = \frac{\Delta\lambda_{\text{eff}}}{D^{-1}}$$

- **Resolution/resolving power**

$$R = \frac{\lambda_{\text{ave}}}{\Delta\lambda} = nN$$

n : order of interference

N : number of total grating grooves/blazes illuminated by radiation

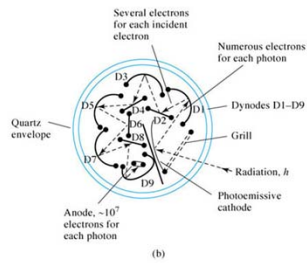


7 Detector: Photon Transducer

(low noise; high gain $10^5 - 10^7$; high speed, \leq ns)



(a)



(b)

Photomultiplier tube (PMT)

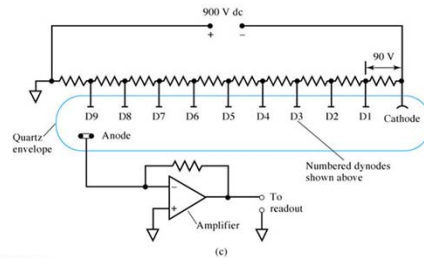
Key to the functioning of a PMT is the photocathode, which is made from low working function material.

PMT and the photoelectric effect (when light shines on a metal surface, electrons are emitted)

Working function and the photoelectric effect
 $h\nu = KE_e + W_0$ (energy needed to remove e^- with some kinetic energy)

Nine dynodes (electrodes)

Upon striking the dynode, each electron causes emission of several additional electrons; and these, in turn, are accelerated toward the next dynode.



(c)

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