

# CUNY Export Control Preliminary Evaluation Form

CUNY Export Control Overview and list of Export Control Administrators are available on the Research Compliance web ! site at <http://www.cuny.edu/research/compliance/Export-Control.html>.

**1. Please answer the following questions, using the [CUNY Export Compliance Overview](#) as a guide, to perform a preliminary evaluation of whether a project may be subject to export control regulations; in making this evaluation, be sure to consider whether any of the issues mentioned below are addressed in the related grant, contract, MTA, MOU or NDA .**

PI Name:	Yes	No
Project documents mention U.S. export control regulations	<input type="radio"/>	<input type="radio"/>
Sponsor restricts non-US entity participation	<input type="radio"/>	<input type="radio"/>
Sponsor prohibits access by non-US persons to project information	<input type="radio"/>	<input type="radio"/>
Sponsor prohibits the hiring of non-US persons	<input type="radio"/>	<input type="radio"/>
Project involves international transfer of controlled items or data	<input type="radio"/>	<input type="radio"/>
Sponsor claims that the results are proprietary	<input type="radio"/>	<input type="radio"/>
You expect the physical export of controlled items or information	<input type="radio"/>	<input type="radio"/>
You expect to travel internationally as part of your responsibilities for the project	<input type="radio"/>	<input type="radio"/>
You expect digital or electronic transmission of controlled data to a foreign destination	<input type="radio"/>	<input type="radio"/>
Sponsor requires publication restrictions for reasons other than patent filing or proprietary information	<input type="radio"/>	<input type="radio"/>
Project documents indicate that export-controlled information or technology will be furnished for use in the project	<input type="radio"/>	<input type="radio"/>
Project will involve use of advanced cryptographic technology including access to source code for cryptography and/or technical data to develop the source code	<input type="radio"/>	<input type="radio"/>

*If you've answered "Yes" to any of the questions above, please answer the questions below and forward this form to your Export Control Officer. Answer "No" or "N/A" as appropriate to the questions below. **Please sign and date the bottom of this form (end of page 2).***

**Please list all collaborators – individuals: full name + citizenship:**

**Please list all collaborators – institutions: formal name + country of business:**

**List of all transactions anticipated (funding, payments, exchange of materials, exchange of data, etc.):**

**Please describe travel plans (destination and purpose of travel), if any:**

**Project title and status – ongoing, new, proposal stage, amendment, etc.:**

**Please describe any anticipated publication and/or citizenship restrictions:**

**Do you have any foreign financial interests that may reasonably be related to your institutional responsibilities?  
Please describe:**

**Do you have any affiliations with any foreign institutions of higher education? Please describe:**

**PI SIGNATURE:**

**DATE:**